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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/683,928	
	Filing Date	October 10, 2003	
	First Named Inventor	Robert R. Hunter	
	Art Unit	2857	
	Examiner Name	Mohamed CHARIOUI	
Total Number of Pages in This Submission	7	Attorney Docket Number	TREND - 00102

ENCLOSURES (Check all that apply)		
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<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	Dechert LLP, P.O. Box 10004, Palo Alto, CA 94303-0961	
Signature		
Printed name	Justin Boyce	
Date	03/15/2005	Reg. No. 40,920

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Robert R. Hunter

**Docket No. :** TREND - 00102

**Serial No. :** 10/683,928

**Art Unit:** 2857

**Filed:** October 10, 2003

**Examiner:** Mohamed CHARIOUI

**Title:** Method and Apparatus for Reading and Controlling Utility Consumption

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

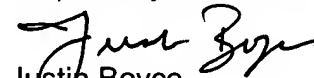
This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
  - ☒ Certification under 37 CFR 1.97(e), or
  - ☐ a \$220.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
  - ☐ Certification under 37 CFR 1.97(e), and
  - ☐ a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
  - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
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- ☒ The Commissioner is authorized to charge any additional fees or credit any overpayment to **Deposit Account No. 50-2778**(Order No. TREND - 00102). A copy of this sheet is enclosed for accounting purposes.

(✓) Applicant submits herewith the references listed on the attached form PTO-1449 of which Applicant is aware and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists. The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

Respectfully Submitted,

  
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PTO/SB/08A (08-03)

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**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/683,928
Filing Date	October 10, 2003
First Named Inventor	Robert R. Hunter
Art Unit	2857
Examiner Name	Mohamed CHARIOUI
Attorney Docket Number	TREND - 00102

Sheet 4 of 7

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 5,002,226	03/26/1991	L.W. NELSON	
	AB	US- 5,684,710	11/04/1997	EHLERS et al.	
	AC	US- 5,696,695	12/09/1997	EHLERS et al.	
	AD	US- 6,134,655	10/17/2000	G.A. DAVIS	
	AE	US- 6,362,745	03/26/2002	G.A. DAVIS	
	AF	US- 6,619,055	09/16/2003	K.L. ADDY	
	AG	US- 6,639,893	10/28/2003	CHIKENJI et al.	
	AH	US- 6,728,646	04/27/2004	HOWELL et al.	
	AI	US- 6,747,571	06/08/2004	FIERRO et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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